

SyFM

Equity mkt - I

NOIOACP

Library

29/9/14

Time : 2 1/2 Hrs.

Marks : 75

Instructions:

All questions are compulsory.

Figures to the right indicate full marks.

- Q1. A) What is a stock exchange ? Explain the Bombay Stock Exchange. (8)
B) What are Global Depository Receipts? (7)

OR

- Q1. C) What is merchant banking? Explain the role of merchant banking in equity markets. (15)

- Q2. A) What is a contract note? Explain the contents of the contract note. (8)
B) What are the different types of orders to be placed in the market? (7)

OR

- Q2. C) Write a note on NSCCL (8)
D) Who are the various players in the secondary market? (7)

- Q3. A) What is an index ? Explain the indices of BSE and NSE (8)
B) Write a note on Depositories (7)

OR

- Q3. C) What is an short selling & securities lending & borrowing? (8)
D) Explain growth of merchant banking in India (7)

what is listing of shares?

- Q4. A) What is a settlement agency? Explain the role of NSCCL as a settlement agency. (15)

OR

- Q4. B) What are American Depository Receipts? (8)
C) Write a note on growth of merchant banks in India. (7)

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Q5. A) What is Book building ? Explain the process of book building. (15)

OR

Q5. B) What is secondary market ? Explain the products available in the secondary market. (8)

C) Explain the role of broker in the secondary market. (7)